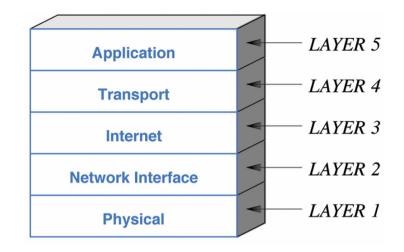
# **10. Transport Layer**

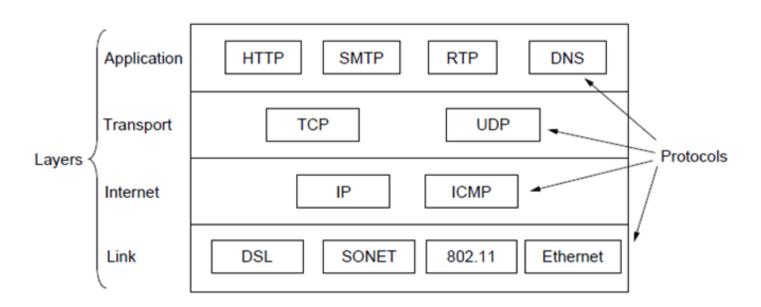
- 1. TCP/IP layers
- 2. TCP/IP layers with some protocols
- 3. Transport Protocols
- 4. Multiplexing and Demultiplexing
- 5. Endpoint Identification
- 6. Well-known port numbers
- 7. The User Datagram Protocol
- 8. The Connectionless Paradigm
- 9. Message-Oriented Interface
- 10. Connectionless Multiplexing and Demultiplexing
- 11. UDP Segment Structure
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- 43. TCP Example ACK+SYN
- 44. SYN Flood Attack
- 45. TCP Connection Release
- 46. Congestion Control
- 47. Links to more information

### 10.1. TCP/IP layers



- recall the 5-layer model above
- the network interface layer is often called the link layer
- we use the generic term *packet* for each block of data transmitted
- recall that each layer adds its own header, so nature of "packet" varies
- so in fact the following terms are usually used for "packets" at each layer
  - frames at the link layer
  - datagrams at the internet layer
  - segments at the transport layer
- we focus on the transport layer in this section

#### 10.2. TCP/IP layers with some protocols



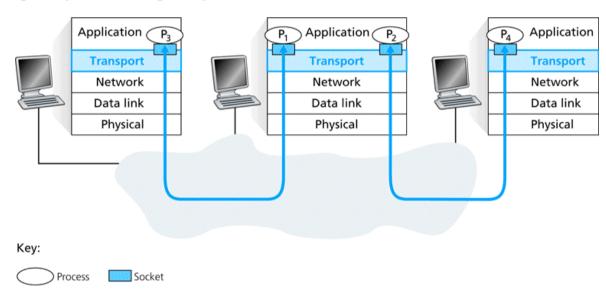
• we focus on the UDP and TCP in this section

## **10.3. Transport Protocols**

- Internet Protocol (IP) provides a packet delivery service across an internet
- however, IP cannot distinguish between multiple *processes* (applications) running on the same computer
- fields in the IP datagram header identify only computers
- a protocol that allows an application to serve as an *end-point* of communication is known as a *transport protocol* or an *end-to-end protocol*
- the TCP/IP protocol suite provides two transport protocols:
  - the User Datagram Protocol (UDP)
  - the Transmission Control Protocol (TCP)

### **10.4. Multiplexing and Demultiplexing**

- a *socket* is the interface through which a process (application) communicates with the transport layer
- each process can potentially use many sockets
- the transport layer in a receiving machine receives a sequence of segments from its network layer
- delivering segments to the correct socket is called *demultiplexing*
- assembling segments with the necessary information and passing them to the network layer is called *multiplexing*
- multiplexing and demultiplexing are need whenever a communications channel is *shared*



## **10.5. Endpoint Identification**

- sockets must have unique identifiers
- each segment must include header fields identifying the socket
- these header fields are the source port number field and the destination port number field
- each port number is a 16-bit number: 0 to 65535

32	bits
Source port #	Dest. port #
Other hea	nder fields
Applio da (mess	ita

#### **10.6.** Well-known port numbers

• port numbers below 1024 are called *well-known ports* and are reserved for standard services, e.g.:

Port number	Application protocol	Description	Transport protocol
21	FTP	File transfer	ТСР
23	Telnet	Remote login	ТСР
25	SMTP	E-mail	ТСР
53	DNS	Domain Name System	UDP
79	Finger	Lookup information about a user	ТСР
80	HTTP	World wide web	ТСР
110	POP-3	Remote e-mail access	ТСР
119	NNTP	Usenet news	ТСР
161	SNMP	Simple Network Management Protocol	UDP

• these pre-defined port numbers are registered with the <u>Internet Assigned Numbers Authority</u> (IANA)

#### **10.7.** The User Datagram Protocol

- UDP is less complex and easier to understand than TCP
- the characteristics of UDP are given below:
  - end-to-end: UDP can identify a specific process running on a computer
  - *connectionless*: UDP follows the connectionless paradigm (see below)
  - *message-oriented*: processes using UDP send and receive individual messages called *segments* or *user datagrams*
  - best-effort: UDP offers the same best-effort delivery as IP
  - *arbitrary interaction*: UDP allows processes to send to and receive from as many other processes as it chooses
  - *operating system independent*: UDP identifies processes independently of the local operating system

## **10.8.** The Connectionless Paradigm

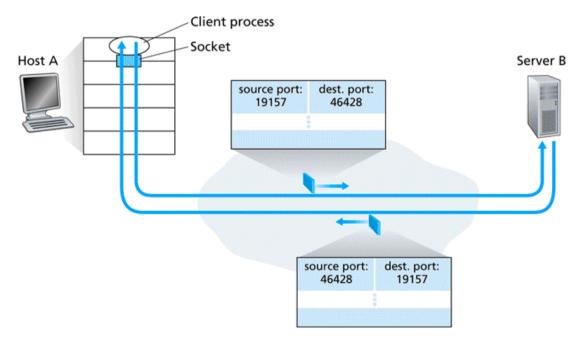
- UDP uses a *connectionless* communication setup
- a process using UDP does not need to establish a connection before sending data (unlike TCP)
- when two processes stop communicating there are no, additional, control messages (unlike TCP)
- communication consists only of the data segments themselves

## **10.9. Message-Oriented Interface**

- UDP provides a message-oriented interface
- each message is sent as a single UDP segment
- however, this also means that the maximum size of a UDP message depends on the maximum size of an IP datagram
- allowing large UDP segments can cause problems
- sending large segments can result in IP fragmentation (see later)
- UDP offers the same best-effort delivery as IP
- this means that segments can be lost, duplicated, or corrupted in transit
- this is why UDP is suitable for applications such as voice or video that can tolerate delivery errors

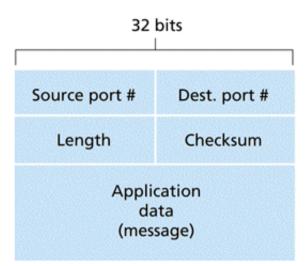
## 10.10. Connectionless Multiplexing and Demultiplexing

- say a process on Host A, with port number 19157, wants to send data to a process with UDP port 46428 on Host B
- transport layer in Host A creates a segment containing source port, destination port, and data
- passes it to the network layer in Host A
- transport layer in Host B examines destination port number and delivers segment to socket identified by port 46428
- note: a UDP socket is fully identified by a two-tuple consisting of
  - a destination IP address
  - a destination port number
- source port number from Host A is used at Host B as "return address":



## **10.11. UDP Segment Structure**

- UDP segment is sometimes called a *user datagram*
- it consists of an 8-byte header followed by the application data (sometimes called *payload*), as shown below



- Source port # identifies the UDP process which sent the segment
- Dest port # identifies the UDP process which will handle the application data
- Length specifies the length of the segment, including the header, in bytes
- *Checksum* is optional (see below)

### **10.12. UDP Header Example (DNS Request)**

	-				2	dcs.pcap		
) 💌 🔶 j							Q	Select a filter predicate
en Capture Jump F								Filter
Source	Destination	Captured Length	Packet Length	Protocol	Date Received	Time Delta	Information	
192.168.0.2	193.61.29.21	663	663	TCP	2016-02-26 18:35:33		60127 -> HTTP ([ACK, PUSH], Seq=1975360107, Ack=1277757817, Win=819	2)
193.61.29.21	192.168.0.2	60	60	TCP	2016-02-26 18:35:33		HTTP -> 60127 ([ACK], Seg=1277757817, Ack=127775360716, Win=3529)	2)
193.61.29.21	192.168.0.2	62	62	TCP	2016-02-26 18:35:34		HTTP -> 60127 ([ACK], 364=1277759817, ACK=1975360716, Will=3529) HTTP -> 60127 ([ACK, PUSH], Seq=1277759269, Ack=1975360716, Will=3529)	2)
192.168.0.2	193.61.29.21	66	66	TCP	2016-02-26 18:35:34		60127 -> HTTP ([ACK], Seq=1975360716, Ack=1975360716, Win=8192)	9)
93.61.29.21	193.61.29.21	1506	1506	TCP	2016-02-26 18:35:34			
93.61.29.21	193.61.29.21	54	54	TCP	2016-02-26 18:35:34		HTTP -> 60127 ([ACK], Seq=1277757817, Ack=1975360716, Win=3529)	
		1506	1506	TCP	2016-02-26 18:35:34		60127 -> HTTP ([ACK], Seq=1975360716, Ack=1277759277, Win=8146)	
93.61.29.21 93.61.29.21	192.168.0.2	1506	1506	TCP	2016-02-26 18:35:34		HTTP -> 60127 ([ACK], Seq=1277759277, Ack=1975360716, Win=3529)	
	192.168.0.2			TCP			HTTP -> 60127 ([ACK], Seq=1277760729, Ack=1975360716, Win=3529)	
92.168.0.2	193.61.29.21	54	54		2016-02-26 18:35:34		60127 -> HTTP ([ACK], Seq=1975360716, Ack=1277762181, Win=8146)	
92.168.0.2	192.168.0.1	93	93	UDP	2016-02-26 18:35:34		52366 > DOMAIN	
93.61.29.21	192.168.0.2	1506	1506	TCP	2016-02-26 18:35:34		HTTP -> 60127 ([ACK], Seq=1277762181, Ack=1975360716, Win=3529)	
193.61.29.21	192.168.0.2	1506	1506	TCP	2016-02-26 18:35:34		HTTP -> 60127 ([ACK], Seq=1277763633, Ack=1975360716, Win=3529)	
92.168.0.2	193.61.29.21	54	54	TCP	2016-02-26 18:35:34		60127 -> HTTP ([ACK], Seq=1975360716, Ack=1277765085, Win=8146)	
193.61.29.21	192.168.0.2	1506	1506	TCP	2016-02-26 18:35:34		HTTP -> 60127 ([ACK], Seq=1277765085, Ack=1975360716, Win=3529)	
93.61.29.21	192.168.0.2	1506	1506	TCP	2016-02-26 18:35:34		HTTP -> 60127 ([ACK], Seq=1277766537, Ack=1975360716, Win=3529)	
192.168.0.2	193.61.29.21	54	54	TCP	2016-02-26 18:35:34		60127 -> HTTP ([ACK], Seq=1975360716, Ack=1277767989, Win=8146)	
193.61.29.21	192.168.0.2	1506	1506	TCP	2016-02-26 18:35:34		HTTP -> 60127 ([ACK], Seq=1277767989, Ack=1975360716, Win=3529)	
192.168.0.1	192.168.0.2	109	109	UDP	2016-02-26 18:35:34		DOMAIN > 52366	
192.168.0.2	216.58.198.238	78	78	TCP	2016-02-26 18:35:34		60128 -> HTTP ([SYN], Seq=1617628113, Ack=0, Win=65535)	
193.61.29.21	192.168.0.2	1506	1506	TCP	2016-02-26 18:35:34		HTTP -> 60127 ([ACK], Seq=1277769441, Ack=1975360716, Win=3529)	
192.168.0.2	193.61.29.21	54	54	TCP	2016-02-26 18:35:34		60127 -> HTTP ([ACK], Seq=1975360716, Ack=1277770893, Win=8146)	
192.168.0.2	193.61.29.21	78	78	TCP	2016-02-26 18:35:34	6.199675	60129 -> HTTP ([SYN], Seq=616479255, Ack=0, Win=65535)	
UDP-Header	8 bytes 52366							
Substination Port				Sour	ce Port I	Destinat	tion Port Length	
🔍 Checksum	0x81a0			Court		20001114	Longin	
🔍 Total length	59 bytes							
Payload								
							35 00 38 81 A0 A1 0F 01 00 00 01 00 00 N\$WEO	
00 00 00 00 14	77 77 77 2D 67 6F	6F 67 6C 65 2D 6	1 6E 61 6C 79 74	69 63 73 01	6C 06 67 6F 6F 67 6C	65 03 63 6F	6D 00 00 01 00 01www-google-analytics.l.go	ogle.com

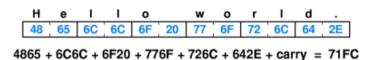
## **10.13. UDP Header Example (DNS Response)**

					c	dcs.pcap		
👌 🐽 🔶 🕽								Q Select a filter predicate
	nd Print							Filter
Source	Destination	Captured Length	Packet Length	Protocol	Date Received	Time Delta	Information	
192,168,0,2	193.61.29.21	663	663	TCP	2016-02-26 18:35:33	. 4.744873	60127 -> HTTP ([ACK, PUSH], Seg=1975360107, Ack=1277757817, Wir	n=8192)
193.61.29.21	192.168.0.2	60	60	TCP	2016-02-26 18:35:33	4.783623	HTTP -> 60127 ([ACK], Seg=1277757817, Ack=1975360716, Win=3529	
193.61.29.21	192.168.0.2	62	62	TCP	2016-02-26 18:35:34	6.072765	HTTP -> 60127 ([ACK, PUSH], Seq=1277759269, Ack=1975360716, Win	n=3529)
192.168.0.2	193.61.29.21	66	66	TCP	2016-02-26 18:35:34	6.072855	60127 -> HTTP ([ACK], Seg=1975360716, Ack=1277757817, Win=8192	
193.61.29.21	192.168.0.2	1506	1506	TCP	2016-02-26 18:35:34	6.085026	HTTP -> 60127 ([ACK], Seq=1277757817, Ack=1975360716, Win=3529	)))
5 192.168.0.2	193.61.29.21	54	54	TCP	2016-02-26 18:35:34	6.085097	60127 -> HTTP ([ACK], Seq=1975360716, Ack=1277759277, Win=8146	3)
5 193.61.29.21	192.168.0.2	1506	1506	TCP	2016-02-26 18:35:34	6.097054	HTTP -> 60127 ([ACK], Seq=1277759277, Ack=1975360716, Win=3529	9)
7 193.61.29.21	192.168.0.2	1506	1506	TCP	2016-02-26 18:35:34	6.115534	HTTP -> 60127 ([ACK], Seq=1277760729, Ack=1975360716, Win=3529	9)
8 192.168.0.2	193.61.29.21	54	54	TCP	2016-02-26 18:35:34	6.115581	60127 -> HTTP ([ACK], Seq=1975360716, Ack=1277762181, Win=8146	3)
9 192.168.0.2	192.168.0.1	93	93	UDP	2016-02-26 18:35:34	6.118432	52366 > DOMAIN	
193.61.29.21	192.168.0.2	1506	1506	TCP	2016-02-26 18:35:34	6.128145	HTTP -> 60127 ([ACK], Seq=1277762181, Ack=1975360716, Win=3529	9)
193.61.29.21	192.168.0.2	1506	1506	TCP	2016-02-26 18:35:34	6.140449	HTTP -> 60127 ([ACK], Seq=1277763633, Ack=1975360716, Win=3529	)
2 192.168.0.2	193.61.29.21	54	54	TCP	2016-02-26 18:35:34		60127 -> HTTP ([ACK], Seq=1975360716, Ack=1277765085, Win=8146	
3 193.61.29.21	192.168.0.2	1506	1506	TCP	2016-02-26 18:35:34	6.158581	HTTP -> 60127 ([ACK], Seq=1277765085, Ack=1975360716, Win=3529	9)
4 193.61.29.21	192.168.0.2	1506	1506	TCP	2016-02-26 18:35:34	6.170753	HTTP -> 60127 ([ACK], Seq=1277766537, Ack=1975360716, Win=3529	9)
5 192.168.0.2	193.61.29.21	54	54	TCP	2016-02-26 18:35:34	6.170799	60127 -> HTTP ([ACK], Seq=1975360716, Ack=1277767989, Win=8146	
6 193.61.29.21	192.168.0.2	1506	1506	TCP	2016-02-26 18:35:34	6.182760	HTTP -> 60127 ([ACK], Seq=1277767989, Ack=1975360716, Win=3529	9)
7 192.168.0.1	192.168.0.2	109	109	UDP	2016-02-26 18:35:34	6.183836	DOMAIN > 52366	
8 192.168.0.2	216.58.198.238	78	78	TCP	2016-02-26 18:35:34	6.185406	60128 -> HTTP ([SYN], Seq=1617628113, Ack=0, Win=65535)	
9 193.61.29.21	192.168.0.2	1506	1506	TCP	2016-02-26 18:35:34	6.196028	HTTP -> 60127 ([ACK], Seq=1277769441, Ack=1975360716, Win=3529	9)
30 192.168.0.2	193.61.29.21	54	54	TCP	2016-02-26 18:35:34	6.196096	60127 -> HTTP ([ACK], Seq=1975360716, Ack=1277770893, Win=8146	3)
1 192.168.0.2	193.61.29.21	78	78	TCP	2016-02-26 18:35:34	6.199675	60129 -> HTTP ([SYN], Seq=616479255, Ack=0, Win=65535)	
)otaile	Values					0		
	Values					0		
Packet	Values							
▶ @ Packet ▶ @ Ethernet-Header	Values					8		
<ul> <li></li></ul>	Values					6		
<ul> <li></li></ul>	Values					÷ • • • • • • •		
Details ► @ Packet ► @ Ethernet-Header ► @ IP-Header ♥ @ UDP-Header © Length								
<ul> <li></li></ul>	8 bytes							
<ul> <li>Packet</li> <li>Ethernet-Header</li> <li>P-Header</li> <li>UDP-Header</li> <li>Length</li> <li>Source Port</li> </ul>	8 bytes 53 (DOMAIN)							
<ul> <li></li></ul>	8 bytes 53 (DOMAIN) 52366				Course Do		Destinction Port	
<ul> <li></li></ul>	8 bytes 53 (DOMAIN)				Source Pol	rt	Destination Port	
<ul> <li></li></ul>	8 bytes 53 (DOMAIN) 52366				Source Por	rt	Destination Port	
<ul> <li>Packet</li> <li>Ethernet-Header</li> <li>PI-Header</li> <li>UDP-Header</li> <li>Length</li> <li>Source Port</li> <li>Destination Port</li> <li>Checksum</li> <li>Total length</li> </ul>	8 bytes 53 (DOMAIN) 52366 0xb028				Source Por	rt	Destination Port	
Packet     Ethernet-Header     Definition     UDP-Header     UDP-Header     Source Port     Source Port     Destination Port     Checksum     Total length	8 bytes 53 (DOMAIN) 52366 0xb028				Source Por	rt	Destination Port	
Packet     Ethernet-Header     Definition     UDP-Header     UDP-Header     Source Port     Source Port     Destination Port     Checksum     Total length	8 bytes 53 (DOMAIN) 52366 0xb028				Source Por	rt	Destination Port	
Packet     Perket     Perkeader     P-Header     P-Header     DDP-Header     Source Port     Destination Port     Checksum     Total length     Payload	8 bytes 53 (DOMAIN) 52366 0xb028 75 bytes							
Packet     Pherent-Header     Pherent-Header     Phereader     UDP-Header     UDP-Header     Outre Port     Checksum     Checksum     Payload      Payload      Payload      Payload	8 bytes 53 (DOMAIN) 52366 0xb028 75 bytes E5 20 4E   7F E2 F4				A CO AS 00 01 CO AS 00	0 02 00 35 CC	85 00 45 50 28 A1 0F 81 80 00 01 00 01 .5. W. NE	
	8 bytes 53 (DOMAIN) 52366 0xb028 75 bytes E5 20 4E 7F E2 F4				A CO AS 00 01 CO AS 00	0 02 00 35 CC	8E 00 48 B0 28 A1 0F 81 80 00 01 00 01 .5W. NE	
	8 bytes 53 (DOMAIN) 52366 0xb028 75 bytes E5 20 4E 7F E2 F4				A CO AS 00 01 CO AS 00	0 02 00 35 CC	85 00 45 50 28 A1 0F 81 80 00 01 00 01 .5. W. NE	
	8 bytes 53 (DOMAIN) 52366 0xb028 75 bytes E5 20 4E 7F E2 F4				A CO AS 00 01 CO AS 00	0 02 00 35 CC	8E 00 48 B0 28 A1 0F 81 80 00 01 00 01 .5W. NE	
	8 bytes 53 (DOMAIN) 52366 0xb028 75 bytes E5 20 4E 7F E2 F4				A CO AS 00 01 CO AS 00	0 02 00 35 CC	8E 00 48 B0 28 A1 0F 81 80 00 01 00 01 .5W. NE	
	8 bytes 53 (DOMAIN) 52366 0xb028 75 bytes E5 20 4E 7F E2 F4				A CO AS 00 01 CO AS 00	0 02 00 35 CC	8E 00 48 B0 28 A1 0F 81 80 00 01 00 01 .5W. NE	
	8 bytes 53 (DOMAIN) 52366 0xb028 75 bytes E5 20 4E 7F E2 F4				A CO AS 00 01 CO AS 00	0 02 00 35 CC	8E 00 48 B0 28 A1 0F 81 80 00 01 00 01 .5W. NE	
Packet     Packet     Packet     Pethernet-Header     PIP-Header     UDP-Header     UDP-Header     Destination Port     Checksum     Total length     Payload	8 bytes 53 (DOMAIN) 52366 0xb028 75 bytes E5 20 4E 7F E2 F4				A CO AS 00 01 CO AS 00	0 02 00 35 CC	8E 00 48 B0 28 A1 0F 81 80 00 01 00 01 .5W. NE	
	8 bytes 53 (DOMAIN) 52366 0xb028 75 bytes E5 20 4E 7F E2 F4				A CO AS 00 01 CO AS 00	0 02 00 35 CC	8E 00 48 B0 28 A1 0F 81 80 00 01 00 01 .5W. NE	
	8 bytes 53 (DOMAIN) 52366 0xb028 75 bytes E5 20 4E 7F E2 F4				A CO AS 00 01 CO AS 00	0 02 00 35 CC	8E 00 48 B0 28 A1 0F 81 80 00 01 00 01 .5W. NE	
	8 bytes 53 (DOMAIN) 52366 0xb028 75 bytes E5 20 4E 7F E2 F4 77 77 77 20 67 6f D8 3A C6 EE	6F 67 6C 65 2D	61 6E 61 6C 79 7	4 69 63 73 0	A C0 A8 00 01 C0 A8 00 1 6C 06 67 6F 67 6C	0 02 00 35 CC	8E 00 48 B0 28 A1 0F 81 80 00 01 00 01 .5W. NE	

#### **10.14. Internet Checksum**

- both UDP and TCP use a 16-bit Checksum field
- the sender can choose to compute a checksum or set the field to zero
- the receiver only verifies the checksum if the value is non-zero
- note that the checksum is computed using ones-complement arithmetic, so a computed zero value is stored as all-ones

#### 10.15. Checksum Example



- to compute the checksum, the sender treats the data as a sequence of binary integers and computes their sum, as illustrated above
- each pair of characters is treated as a 16-bit integer
- if the sum overflows 16 bits, the carry bits are added to the total
- the advantage of such checksums is their size and ease of computation

• addition requires very little computation and the cost of sending an additional 16-bits is negligible

#### 10.16. Example of Checksum Failure

Data Item In Binary	Checksum Value	Data Item In Binary	Checksum Value
0001	1	0011	3
0010	2	0000	Ō
0011	3	0001	1
0001	1	0011	3
totals	7		7

- checksums do not detect all common errors, as illustrated above
- a transmission error has inverted the second bit in each of the four data items, yet the checksums are identical

### **10.17. UDP Encapsulation**

- recall that each layer in the protocol stack adds its own header
- each UDP segment is encapsulated in a network-layer (IP) datagram
- each IP datagram is encapsulated in a link-layer frame

		UDP Hdr UDP Data Area
		•
	IP Header	IP Data Area
Frame Header		Frame Data

#### **10.18. Protocols Using UDP**

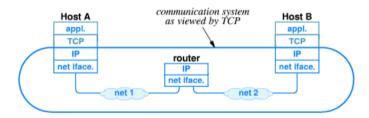
- UDP is especially useful in client-server situations, when a client sends a short request to the server and expects a short response
- if either the request or response is lost, the client times out and tries again
- if all is well, only two packets are required
- an example of an application that uses UDP in this way is the *Domain Name System* (DNS)

## **10.19. Transmission Control Protocol (TCP)**

- the *Transmission Control Protocol* (TCP) is the transport level protocol that provides *reliability* in the TCP/IP protocol suite
- from an application program's perspective, TCP offers:
  - *connection-oriented*: an application requests a connection, and then uses it for data transfer
  - *point-to-point communication*: each TCP connection has exactly two end points
  - *reliability*: TCP guarantees that the data sent across the connection will be delivered exactly as sent, without missing or duplicate data
  - full-duplex connection: a TCP connection allows data to flow in both directions at any time
  - *stream interface*: TCP allows an application to send a continuous stream of bytes across the connection
  - *reliable startup*: TCP requires that two applications must agree to the new connection before it is established
  - *graceful shutdown*: TCP guarantees to deliver all the data reliably before closing the connection

### 10.20. End-To-End Service

- TCP uses IP to carry messages, known as segments
- each TCP segment is encapsulated in an IP datagram and sent across the Internet
- TCP treats IP as a packet communication system:



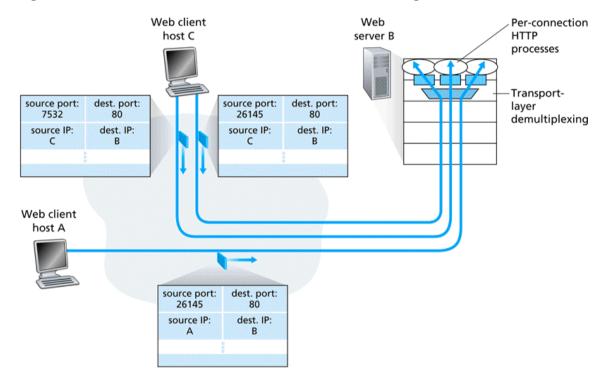
- as illustrated, TCP software is required at both ends of the virtual connection, but not on intermediate routers
- from TCP's point of view, the entire Internet is a communication system capable of accepting and delivering messages without changing their contents

## 10.21. Connection-Oriented Multiplexing and Demultiplexing

- each TCP connection has exactly two end-points
- this means that two arriving TCP segments with different source IP addresses or source port numbers will be directed to two *different* sockets, *even if they have the same destination port number*
- so a TCP socket is identified by a four-tuple: (source IP address, source port #, destination IP address, destination port #)
- recall UDP uses only (destination IP address, destination port #)

### 10.22. Multiplexing and Demultiplexing Example

• an example where clients A and C both communicate with B on port 80:



#### 10.23. Reliable Data Transfer

- TCP is a *reliable* data transfer protocol
- implemented on top of an *unreliable* network layer (IP)
- some problems:
  - bits in a packet may be *corrupted*
  - packets can be *lost* by the underlying network
- some solutions:
  - acknowledgements (ACKs) can be used to indicate packet received correctly
  - a countdown timer can be used to detect packet loss
  - packet retransmission can be used for lost packets

## 10.24. Simple Reliable Data Transfer

- a simple reliable data transfer protocol might
  - send a packet
  - wait until it is sure the receiver has received it correctly
- such a protocol is known as a *stop-and-wait* protocol
- performance of such a protocol on the Internet would be poor

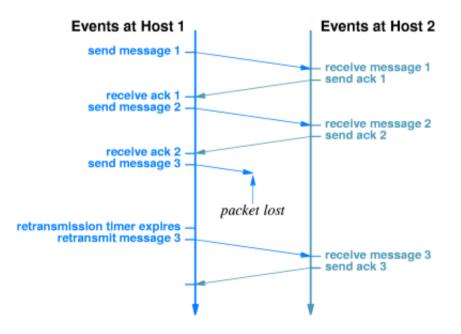
## 10.25. Pipelined Reliable Data Transfer

- a *pipelined* protocol allows for multiple data packets to be sent while waiting for acknowledgements
- this results in better network utilisation
- sender and receiver now need buffers to hold multiple packets
- packets need sequence numbers in order to identify them
- an acknowledgement needs to refer to corresponding sequence number
- retransmission can give rise to duplicate packets
- sequence numbers in packets allow receiver to detect duplicates

### 10.26. Packet Loss and Retransmission

- TCP copes with the loss of packets using *retransmission*
- when TCP data arrives, an *acknowledgement* is sent back to the sender
- when TCP data is sent, a timer is started
- if the timer expires before an acknowledgement arrives, TCP retransmits the data

#### 10.27. Packet Loss and Retransmission - Example



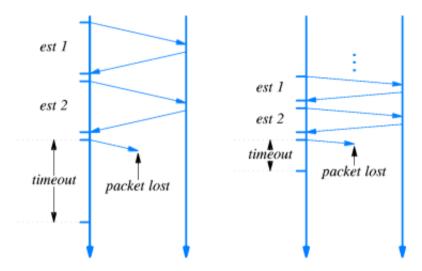
- host on the left is sending data; host on the right is receiving it
- TCP must be ready to retransmit any packet that is lost

- how long should TCP wait?
- the TCP software does not know whether it is using
  - a local area network (acknowledgements within a few milliseconds) or
  - a long-distance satellite connection (acknowledgements within a few seconds)

#### 10.28. Adaptive Retransmission

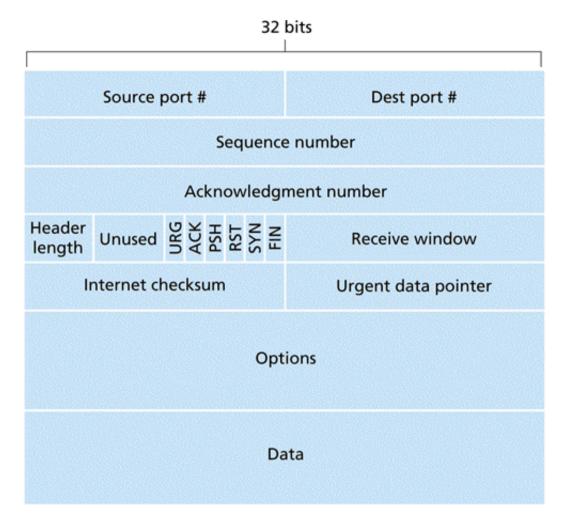
- TCP estimates the round-trip delay for each active connection
- for each connection, TCP generates a sequence of round-trip estimates and produces a weighted average (mean)
- it also maintains an estimate of the variance
- it then uses a linear combination of the estimated mean and variance as the value of the timeout

#### 10.29. Adaptive Retransmission - Example



- the connection on the left above has a relatively long round-trip delay
- the connection on the right above has a shorter round-trip delay
- the goal is to wait long enough to decide that a packet was lost, without waiting longer than necessary
- when delays start to vary, TCP adjusts the timeout accordingly

### **10.30. TCP Segment Structure**

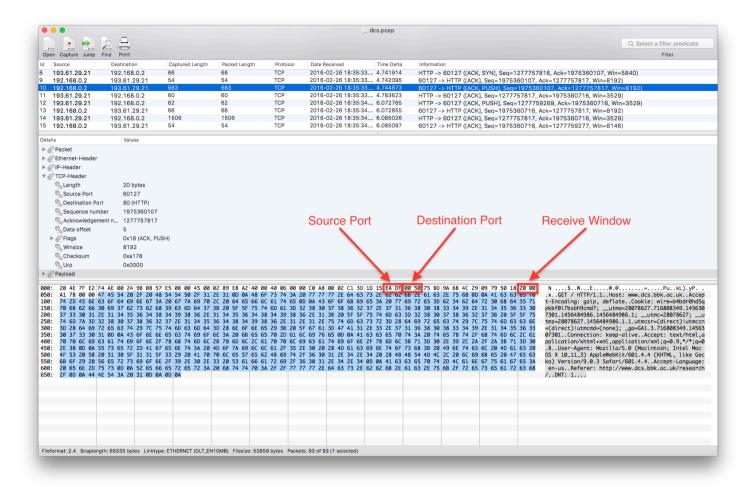


- Source port #, Dest port # and Internet checksum are as for UDP
- Sequence number (32 bits) and Acknowledgement number (32 bits) are used to implement reliable transfer (see below)
- Header length (4 bits) is the header length (including possible options) in 32-bit words
- the *flag field* contains 6 1-bit flags (see below)
- *Receive window* identifies how much buffer space is available for incoming data (used for *flow control*)

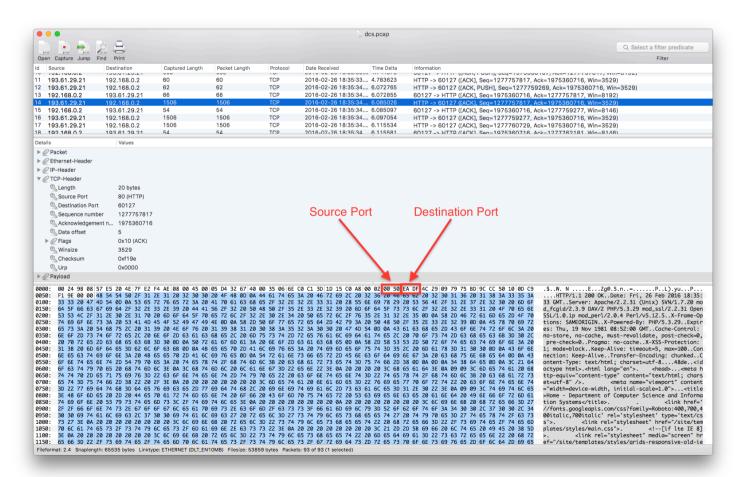
### 10.31. TCP Flags

- URG flag indicates that the sender has marked some data as urgent
- in this case, the *Urgent data pointer* contains an offset into the TCP data stream marking the last byte of urgent data
- *ACK* flag indicates that the acknowledgement number field is valid (i.e. the segment is an acknowledgement)
- PSH flag indicates that should be delivered immediately (PUSHed) and not buffered
- RST flag is used to reset a connection, i.e. a confused or refused connection
- *SYN* flag is used to establish a connection (see below)
- *FIN* flag is used to terminate a connection (see below)

## 10.32. TCP Example (HTTP Request)



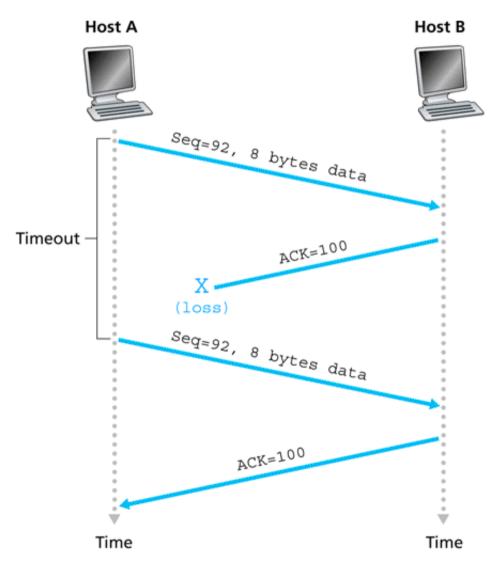
#### 10.33. TCP Example (HTTP Response)



## 10.34. Sequence and Acknowledgement Numbers

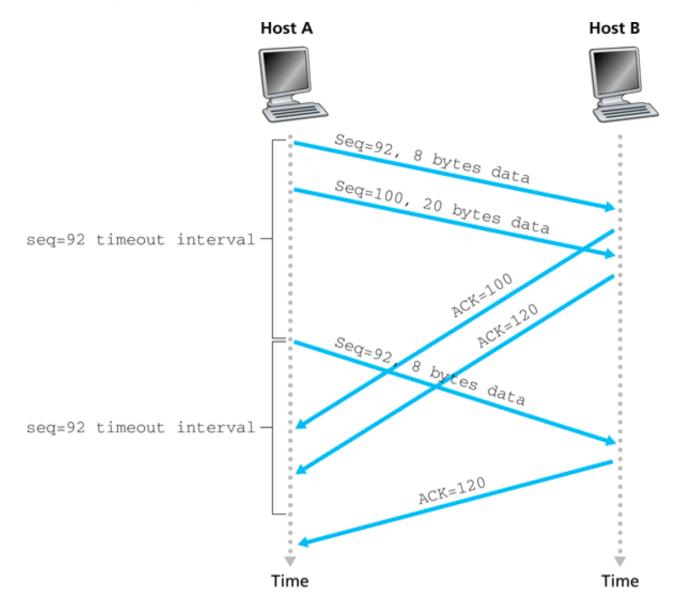
- TCP views data as an ordered stream of bytes
- sequence numbers are with respect to the stream of transmitted bytes
- the *sequence number* for a segment is therefore the byte-stream number of the first data byte in the segment
- the receiver uses the sequence number to re-order segments arriving out of order and to compute an acknowledgement number
- an *acknowledgement number* identifies the sequence number of the incoming data that the receiver expects next
- suppose Host A has received bytes 0 through 535 and 900 through 1000 from Host B, but not bytes 536 through 899
- A's next segment to B will contain 536 in the acknowledgement number field
- TCP only acknowledges bytes up to the first missing byte in the stream
- TCP is said to provide *cumulative acknowledgements*

## 10.35. Example: Lost Acknowledgement



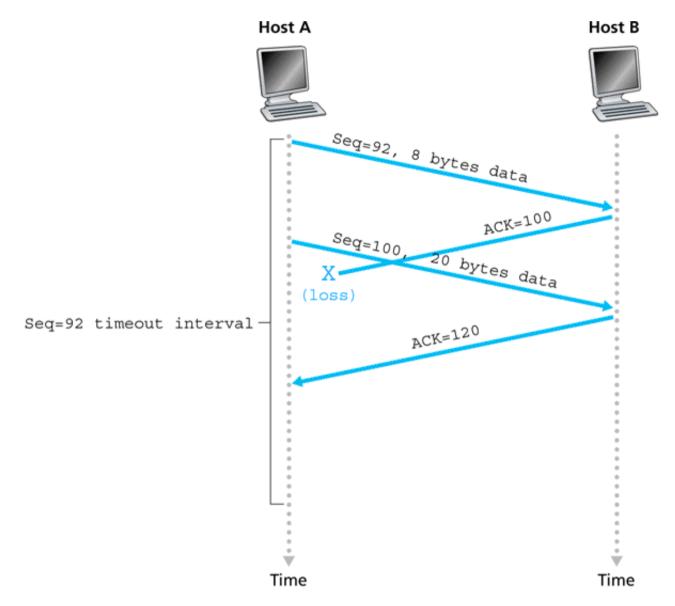
- Host A sends one segment to Host B
- this segment has sequence number 92 and contains 8 bytes of data
- the acknowledgement from B is lost
- A retransmits after its timer expires

#### 10.36. Example: Single Retransmission



- Host A sends two segments back to back to Host B
- acknowledgements from B arrive only after timeout
- if acknowledgement for second segment arrives before the new timeout, the second segment will not be retransmitted

#### 10.37. Example: No Retransmission Necessary

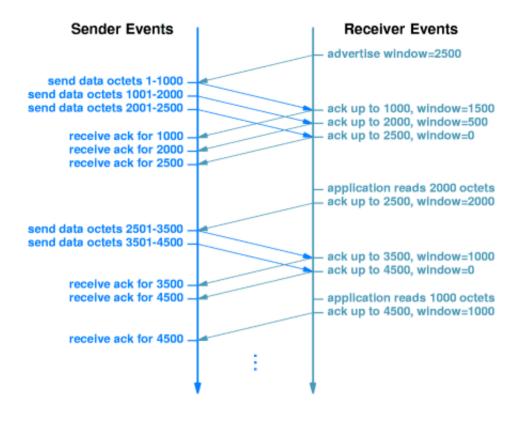


- Host A sends two segments back to back to Host B (as in previous example)
- suppose the acknowledgement for the first segment is lost
- if second acknowledgement arrives before timeout, A does not retransmit either segment

### 10.38. Flow Control

- TCP uses a *window* mechanism to control the flow of data
- when a connection is established, each end of the connection allocates a buffer to hold incoming data, and sends the size of the buffer to the other end
- as data arrives, the receiver sends acknowledgements together with the amount of buffer space available called a *window advertisement*
- if the receiving application can read data as quickly as it arrives, the receiver will send a positive window advertisement with each acknowledgement
- however, if the sender is faster than the receiver, incoming data will eventually fill the receiver's buffer, causing the receiver to advertise a *zero window*
- a sender that receives a zero window advertisement must stop sending until it receives a positive window advertisement

#### **10.39. Flow Control Example**

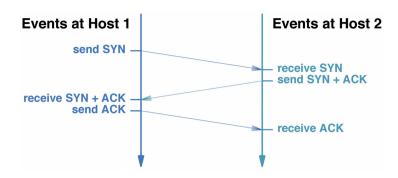


- sender is using a maximum segment size of 1000 bytes
- receiver advertises an initial window size of 2500 bytes
- sender transmits three segments (two containing 1000 bytes and one containing 500 bytes); then waits for an acknowledgement
- the first three segments fill the receiver's buffer faster than the receiving application can consume the data, so the advertised window reaches zero
- after the application reads 2000 bytes, the receiving TCP sends an additional acknowledgement advertising a window of 2000 bytes
- sender responds by sending two 1000-byte segments resulting in another zero window
- application reads 1000 bytes, so the receiving TCP sends an acknowledgement with a positive window size

### **10.40. TCP Connection Establishment**

- connections are established by means of a *three-way handshake*
- each side sends a control message, specifying window size and *Initial Sequence Number* (ISN) which is randomly chosen
- a random ISN reduces the chance of a "lost" segment from an already-terminated connection being considered part of this connection
- the three steps are:
  - the sender sends a TCP segment (including window size and ISN) with the SYN flag on
  - the recipient sends a segment (including window size and ISN) with both SYN and ACK flags on
  - the sender replies with ACK

#### **10.41. Example: Connection Establishment**

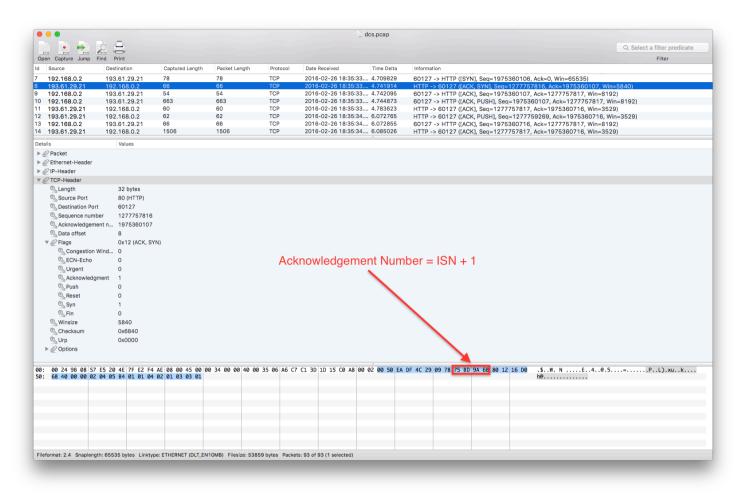


- host 1 opens the connection with an ISN
- host 2 accepts the connect request by sending a TCP segment which
  - acknowledges host 1's request (ACK flag on)
  - sets acknowledgement number to ISN+1
  - makes its own connection request (SYN flag on) with an ISN
- host 1 acknowledges this request
- note that the SYN flag "consumes" one byte of sequence space so that it can be acknowledged unambiguously

## 10.42. TCP Example SYN

	-					ics.pcap		
PCAP PCAP PCAP								Q Select a filter predicate
	d Print							Filter
Source	Destination	Captured Length	Packet Length	Protocol	Date Received	Time Delta	Information	
192.168.0.2	193.61.29.21	78	78	TCP	2016-02-26 18:35:33	. 4.709829	60127 -> HTTP ([SYN], Seg=1975360106, Ack=0, Win=65535)	
	192.168.0.2	66	66	TCP	2016-02-26 18:35:33		HTTP -> 60127 ([ACK, SYN], Seg=1277757816, Ack=1975360107, Win	=5840)
192.168.0.2	193.61.29.21	54	54	TCP	2016-02-26 18:35:33	. 4.742095	60127 -> HTTP ([ACK], Seg=1975360107, Ack=1277757817, Win=819	
192.168.0.2	193.61.29.21	663	663	TCP	2016-02-26 18:35:33	. 4.744873	60127 -> HTTP ([ACK, PUSH], Seg=1975360107, Ack=1277757817, W	
193.61.29.21	192.168.0.2	60	60	TCP	2016-02-26 18:35:33	. 4.783623	HTTP -> 60127 ([ACK], Seg=1277757817, Ack=1975360716, Win=352	
193.61.29.21	192.168.0.2	62	62	TCP	2016-02-26 18:35:34	. 6.072765	HTTP -> 60127 ([ACK, PUSH], Seq=1277759269, Ack=1975360716, W	in=3529)
192.168.0.2	193.61.29.21	66	66	TCP	2016-02-26 18:35:34	. 6.072855	60127 -> HTTP ([ACK], Seq=1975360716, Ack=1277757817, Win=819	(2)
193.61.29.21	192.168.0.2	1506	1506	TCP	2016-02-26 18:35:34	. 6.085026	HTTP -> 60127 ([ACK], Seq=1277757817, Ack=1975360716, Win=352	9)
ails	Values					0		
Packet								
Ethernet-Header								
P-Header								
TCP-Header								
Length	44 bytes							
Source Port	60127							
S Destination Port	80 (HTTP)							
Sequence number								
🔍 Acknowledgement	n 0							
🕓 Data offset	11							
▼ @ Flags	0x02 (SYN)							
Congestion Wir	nd 0							
CON-Echo	0				Initial Seq		lumber	
	0				initial Ocq			
🔍 Urgent						<b>\</b>		
🖏 Urgent 🔍 Acknowledgme	int 0					$\mathbf{N}$		
및 Urgent 및 Acknowledgme 및 Push	nt O O					$\mathbf{N}$		
্র Urgent ৎ্র Acknowledgme ৎ্র Push ৎ্র Reset	nt 0 0 0					$\mathbf{n}$		
G Urgent G Acknowledgme Push G Reset Syn	nt 0 0 0 1					$\backslash$		
<ul> <li>Urgent</li> <li>Acknowledgme</li> <li>Push</li> <li>Reset</li> <li>Syn</li> <li>Fin</li> </ul>	nt 0 0 0					$\backslash$		
G Urgent G Acknowledgme Push G Reset Syn	nt 0 0 0 1							
୍ଦ୍ରି Urgent ୯. Acknowledgme ୯. Push ୯. Reset ୯. Syn ୯. Fin	nt 0 0 1 0							
C Urgent Acknowledgme Push Reset Syn Fin Winsize Checksum	nt 0 0 1 0 65535							
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Q Urgent Q Acknowledgme Q Push Q Reset Q Syn Q Fin Winsize Q Checksum Q Urp ≥ Q Options 20 4E 7F E2 F4 AE	nt 0 0 1 0 65535 0x9f2f 0x0000	E5 08 00 45 00 00 95 01 01 08 0A 43	0 40 B9 E7 40 00 7 2E 2E AD 00 80	40 06 00 00 00 00 04 02	0 C0 A8 00 02 C1 3D 1D 00 00	15 EA DF @0	50 75 50 9A 6A 90 00 00 90 80 02 FF FF NSWE@@.@	
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© Urgent © Acknowledgme © Push © Reset © Syn © Checksum © Urp ▷ @ Options 20 4E 7F E2 F4 AE	nt 0 0 1 0 65535 0x9f2f 0x0000	E5 08 00 45 00 0 05 01 01 08 0A 4	0 40 B9 E7 40 00 7 ZE ZE AD 00 00	40 06 00 00 00 00 04 02	0 C0 A8 00 02 C1 3D 1D 00 00	15 EA DF 00	50 75 50 9A 6A 90 00 00 90 80 02 FF FF NSWE@@.@	
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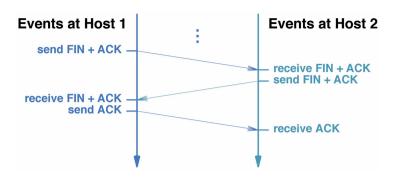
## 10.43. TCP Example ACK+SYN



### **10.44. SYN Flood Attack**

- SYN Flood Attack is a type of Denial of Service (DoS) attack
- attacker sends a large number of TCP SYN segments without completing the third handshake step
- server sets up buffer space etc. for all SYN requests and so consumes all its resources
- solution is for server to choose as ISN a hash function of
  - source and destination IP addresses
  - source and destination port numbers
  - secret number known only to the server
- not to allocate resources until third handshake step
- nor to remember ISN
- if an ACK comes back, it can compute the hash value and check it against the ACK value (minus one)
- if no ACK, no resources have been allocated

### **10.45. TCP Connection Release**



- a three-way handshake is also used to terminate a connection
- in this example, host 1 terminates the connection by transmitting a segment with the FIN flag set containing optional data
- host 2 acknowledges this (the FIN flag also consumes one byte of sequence space) and sets its own FIN flag
- the third and last segment contains host 1's acknowledgement of host 2's FIN flag

### **10.46.** Congestion Control

- packet loss typically results from buffer overflow in routers as the network becomes *congested*
- congestion results from too many senders trying to send data at too high a rate
- packet retransmission treats a symptom of congestion, but not the cause
- to treat the cause, senders must be "throttled" (reduce their rate)
- TCP implements a congestion control algorithm based on perceived congestion by the sender:
  - if it perceives little congestion, it increases its send rate
  - if it perceives there is congestion, it reduces its send rate
- we will not cover the details of how TCP does this

#### 10.47. Links to more information

• The companion web site for <u>Tanenbaum's book</u>, Chapter 6.

See Chapter 3 of [Kurose and Ross], Chapters 25 and 26 of [Comer] and parts of Chapter 6 of [Tanenbaum].